| | | 091-11043h | 2 |
|--------------|--|--|------------------------------|
| | ernal Use Only le No9. EIV[-[]) 40CT 29 AMII: 32 SECURITIES AND EXCHANCE SEC /- MR | OMB Number: 3235-0 Expires: August 31, 2 CES Estimated average burder GE COMMISSION hours per response | 2010 n |
| • | FORM 19b- | 4(4) | |
| | | | |
| • | Information Required of a Self-Regulatory Org Derivative Securities Product Pursuant to Rule 19b-4(e | | |
| • • | READ ALL INSTRUCTIONS PRIOR | TO COMPLETING FORM SEC | ina |
| Part I | Initial Listing R | | |
| I. . | Name of Self-Regulatory Organization Listing New Derivativ BATS Exchange, Inc. | ve Securities Product: OCT 28201 Washington [| |
| 2. | Type of Issuer of New Derivative Securities Product (e.g., cle See attached. | aringhouse, broker-dealer, corporation, etc.)404 | 5 |
| 3. | Class of New Derivative Securities Product: See attached. | THE THE REPORT OF THE REPORT | |
| 4. | Name of Underlying Instrument: See attached. | | |
| 5. | If Underlying Instrument is an Index, State Whether it is Bro See attached. | ad-Based or Narrow-Based 14010658 | 14 1 8 9 1 8 0 |
| 6. | Ticker Symbol(s) of New Derivative Securities Product: See attached. | | |
| 7. | Market or Markets Upon Which Securities Comprising Under See attached. | lying Instrument Trades: | |
| 8. | Settlement Methodology of New Derivative Securities Produ Trades locked in at Exchange and se | | |
| 9. | Position Limits of New Derivative Securities Product (if app Not applicable. | licable): | |
| Part II | Execution | | * . |
| | The undersigned represents that the governing body of the ab approved, or has duly delegated its approval to the undersign derivative securities product according to its relevant trading standards. | ed for, the listing and trading of the above-referenced | |
| Name o | of Official Responsible for Form: Anders Franzon | | |
| Title: | VP, Associate General Counsel | | • |
| Telepho | one Number: (913) 815-7154 | Securities Exchange Act of 1934 | 1 |
| Mapual | l Signature of Official Responsible for Form; | Acts | |
| 1 1 | | Section 195-4 | |
| Date | | Rule Exterector | _ |

je b

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON OCTOBER 23, 2014

| <u>(6)</u> <u>Ticker</u> Symbol | (2) Type of Issuer of NDSP | (3) Class of NDSP | (4) Name of Underlying Instrument | (7) Market(s) upon <u>Which Securities</u> <u>Comprising Underlying</u> <u>Instrument Trades</u> | <u>(5) Broad</u> <u>or</u> <u>Narrow</u> |
|---------------------------------------|----------------------------------|-----------------------------|--------------------------------------|---|--|
| | • | | | | |
| FEUZ | Trust | Investment Company Units | NASDAQ AlphaDEX® Eurozone Index | World | Broad |



October 27, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 SEC Mail Processing Section OCT 282014 Washington DC 404

RE: Form 19b-4(c) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Exchange on October 23, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

| Act Securities Exchange Act of 1934 | 승규가 많은 것은 것을 것 같아요. 신영 옷에서 있는 것 두 동 이 것 두 동 이 것 두 동 이 것 두 동 이 것 두 동 이 것 두 동 이 것 두 동 이 것 두 동 이 것 두 동 이 것 두 동 이 있 | . • |
|--------------------------------------|---|-----|
| Act Securities Exchange Act of 1934 | | 1 |
| Act Securities Exchange Act of 1934 | | 27 |
| Act Securities Exchange Act of 1934- | | |
| Act Securities Exchange Act of 1934- | | 5 |
| | | 77 |
| | | 2 |
| | | 4 |
| <u></u> | Act Securities Exchange Act of 1934 | 7. |
| | <u></u> | |
| (1.1c) | section to the routes | |
| | (11) | |

Public Availability: CCT 2 8 2014

TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM