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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

SEC  
Mail Processing  
Section  
OCT 20 2014

Part I Initial Listing Report

- |   |               |
|---|---------------|
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:<br><b>The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)</b> | Washington DC |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):<br><b>Open End Management Investment Company</b>          | 404           |
| 3. Class of New Derivative Securities Product:<br><b>Exchange Traded Fund</b>   | 2011          |
| 4. Name of Underlying Instrument:<br><b>Actively managed portfolio of securities.</b>   | SEC / MR      |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:<br><b>Not Applicable</b>  | OCT 21        |
| 6. Ticker Symbol(s) of New Derivative Securities Product:<br><b>FBND</b>  | PM 12:44      |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:<br><b>Listed on: NYSE, OTC</b>  | RECEIVED      |
| 8. Position Limits of New Derivative Securities Product (if applicable):<br><b>Regular way trades settle on T + 3 (cash settled)</b>                                      |               |
| 9. Position Limits of New Derivative Securities Product (if applicable):<br><b>N/A</b>  |               |

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Joan Conley**

Title:  
**Secretary**

Telephone Number:  
**301-978-8735**

Manual Signature of Official Responsible for Form:

Date: **October 09, 2014**

Act Securities Exchange Act of 1934

Section 19b-4  
Title 17 CFR

Public Availability: **OCT 20 2014**