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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)



14010644

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Mail Processing  
Section

Part I Initial Listing Report

OCT 20 2014

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)

Washington DC  
404

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Open End Management Investment Company

3. Class of New Derivative Securities Product:  
Exchange Traded Fund

4. Name of Underlying Instrument:  
Renaissance International IPO Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:  
IPOS

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Listed on: Australian Stock Exchange (ASX), Bovespa, Deutsche Borse, Euronext Paris, Hong Kong, Irish Stock Exchange, London Stock Exchange, Mexican Stock Exchange, NASDAQ Stock Market LLC, NYSE, Singapore Stock Exchange, Tokyo Stock Exchange, Toronto

8. Position Limits of New Derivative Securities Product (if applicable):  
Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):  
N/A

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Joan Conley

Title:  
Secretary

Telephone Number:  
301-978-8735

Manual Signature of Official Responsible for Form:

Date: October 09, 2014

Act Securities Exchange Act of 1934

19b-4(e)

Public Availability: OCT 20 2014