091-17823fn

For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60



UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934	
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	
Part I Initial Listing Report	Mail Processing
 Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges) 	OCT 202014
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corpo Open End Management Investment Company	ration, ∜VäshIngton DC
3. Class of New Derivative Securities Product: Exchange Traded Fund	
4. Name of Underlying Instrument: Actively managed portfolio of securities	
 If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Not Applicable 	3H 0
6. Ticker Symbol(s) of New Derivative Securities Product: FCOR	ECI T 2:
 Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NYSE, OTC 	PII
 Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 	
 Position Limits of New Derivative Securities Product (if applicable): N/A 	<u>.</u>
Part II Execution	
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.	
Name of Official Responsible for Form: Joan Conley	
Title: Secretary	
Telephone Number: 301-978-8735	
Manual Signature of Official Responsible for Form:	Securities Exchange Act of 1934
Date: October 09, 2014	195-1
SEC 2449 (6-01)	GCT 2 0 2014
Availability:	001 2 0 2017