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OMB APPROVAL

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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

SEC
Mail Processing
Section

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Washington DC
404

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
- 3. Class of New Derivative Securities Product:
Exchange Traded Fund
- 4. Name of Underlying Instrument:
AlphaShares Emerging Markets Real Estate Index
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-based
- 6. Ticker Symbol(s) of New Derivative Securities Product:
EMRE
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Listed on: Bogota, Bovespa, Dar-Es-Salaam, Hong Kong, Indonesia, Istanbul Stock Exchange, Lima Stock Exchange, Mexican Stock Exchange, National Stock Exchange Of India, Philippine Stock Exchange, Prague, Santiago Stock Exchange, Taiwan Stock Exchange, Thailand, Warsaw
- 8. Position Limits of New Derivative Securities Product (if applicable):
Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable):
N/A

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Joan Conley

Title:
Secretary

Telephone Number:
301-978-8735

Manual Signature of Official Responsible for Form:

Date: **October 03, 2014**

Handwritten signature of Joan Conley

Securities Exchange Act of 1934

Section 19b-4

FILED

DATE

Availability: OCT 20 2014

