)91-17807-m
For Internal Use Only Sec File No. 9-	Submit 1 Original and 9 Copies	OMB APPROVAL OMB Number: 3235-0504
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549	Expires: August 31, 2010 Estimated average burden hours per response3.60
14010621	FORM 19b-4(e)	
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934		
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM SEC Mail Processing		
Part I	Initial Listing Report	Section <u>GCT 202014</u>
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) Washington DC		
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 404 Open End Management Investment Company		
3. Class of New Derivative Secur Exchange Traded Fund	ities Product:	
4. Name of Underlying Instrumer Actively managed portfolio		E P E C P C
5. If Underlying Instrument is an Not Applicable	Index, State Whether it is Broad-Based or Narrow-Based:	
6. Ticker Symbol(s) of New Deri FBND	vative Securities Product:	t.
7. Market or Markets Upon Whic Listed on: NYSE, OTC	h Securities Comprising Underlying Instrument Trades:	01
8. Position Limits of New Deriva Regular way trades settle	tive Securities Product (if applicable): on T + 3 (cash settled)	
9. Position Limits of New Deriva N/A	tive Securities Product (if applicable):	
Part II	Execution	
approved, or has duly del	nts that the governing body of the above-referenced Self-Re legated its approval to the undersigned for, the listing and the product according to its relevant trading rules, procedures,	rading of the above-referenced
Name of Official Responsible for J Joan Conley	Form:	
Title: Secretary		
Telephone Number: 301-978-8735		1
Manual Signature of Official Resp	onsible for Form:	AUA Sequrifies Exchange Act of 1934
Date: October 09, 2014	Section	19/2-4
SEC 2449 (6-01)	Public Availability	: OCT 2 0 2014
	2 Availanty.	