

091-17798

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OMB Number: 3235-0504
Expires: August 31, 2013
Estimated average burden
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2014 OCT 27 AM 10: 04

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SEC
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Section

FORM 19b-4(e)


Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

OCT 24 2014
Washington DC
404

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
The NASDAQ Stock Market LLC
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
- 3. Class of New Derivative Securities Product:
Exchange Traded Fund
- 4. Name of Underlying Instrument:
DAX Index
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad Based
- 6. Ticker Symbol(s) of New Derivative Securities Product:
DAX
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Xetra
- 8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle T+3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable):
N/A



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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
William Slattery

Title:
Vice President, Listing Qualifications

Telephone Number:
(301) 978-8088

Manual Signature of Official Responsible for Form:
William Slattery

Date:
10/23/2014

Act	Securities Exchange Act of 1934
Section	19b-4
Date	19b-4(e)
Availability:	OCT 24 2014