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**UNITED STATES** 

2014 OCT 29 AM 11: 50 WOODS TO THE SECURITIES AND EXCHANGE COMMISSION

SEC / MR

FORM 19b-4(e)

OMB Number: 3235-0504 August 31, 2013 Expires:

Estimated average burden hours per response. . . . . 3.60

> SEC Mail Processing Section

Information Required of a Self-Regulatory Organization Listing and Trading a New 292014

	Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 Washington De				
	READ ALL INSTRUCTIONS PRIOR TO	COMPLETING F	ORM <b>404</b>		
Part I	Initial Listing Repor	t			
1.	Name of Self-Regulatory Organization Listing New Derivative Se	curities Product:			
<del>.</del>	Chicago Stock Exchange				
2.	Type of Issuer of New Derivative Securities Product (e.g., clearin	ghouse, broker-dea	ler, corporation, etc.):		
	Class of New Derivative Securities Product:		THE PERSON NAMED IN STREET		
3.					
	Ownership of the Trust				
4.	Name of Underlying Instrument: First Trust Eurozone AlphaDEX ETF		14010606		
5.	If Underlying Instrument is an Index, State Whether it is Broad-B	aced or Narrow-Ra			
٥.	Narrow-Based	ased of Natiow-Da	scu.		
6.	Ticker Symbol(s) of New Derivative Securities Product:				
0.	FEUZ				
7.	Market or Markets Upon Which Securities Comprising Underlyin	g Instrument Trade	s:		
, ·	Various	0			
8.	Settlement Methodology of New Derivative Securities Product:				
	See Prospectus				
9.	Position Limits of New Derivative Securities Product (if applicab	le):			
:	See Prospectus		·		
-Part II	Execution				
	The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Name o	f Official Responsible for Form:				
	Peter D. Santori				
Title:	Executive Vice President				
	Chief Compliance Officer, Chief Regulatory Officer				
Telepho	one Number: 312-663-2402				
Manual	Signature of Official Responsible for Form:	Act S	ecurities Exchange Act of 1934		
	1.14		CIS A		
Date:	October 27, 2014		(S.7.6)		
	October 27, 2014		X. T. 124		
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EC 244	9 (6-01)	Availability:	ooi e ji gari		



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SEC
Mail Processing
Section
OCT 292014
Washington DC
404

October 27, 2014

## **By UPS**

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

FEUZ	First Trust Eurozone AlphaDEX ETF

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Peter D. Santori
Executive Vice President
Chief Compliance Officer

**Chief Regulatory Officer** 

Enclosures

Act Securities Exchange Act of 1934
Section 19h-4
Rule 19h-4(e)
Public Availability: OCT 2 9 2014