For Internal Use Only Submit 1 Original OMB Approval No. Sec File No. 91 and 9 Copies Expires: 4 in: 05 Estimated average burden hours per response: 2.00 SEC / MR UNITED STATES **SEC** Mail Processing SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Section FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Actiof, 1934 mgi<del>tti DC</del> READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM <del>104</del> Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: **Investment Company Units** 4. Name of Underlying Instrument: NASDAO AlphaDEX Eurozone Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad 6. Ticker Symbol(s) of New Derivative Securities Product: FEUZ 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Borsa Italiana, Euronext Amsterdam, Euronext Brussels, Madrid, Deutsche Borse, Euronext Paris, Zurich, Irish Stock Exchange, Euronext Lisbon, Helsinki Stock Exchange, Athens Stock Exchange, Vienna Stock Exchange, 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. P. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Securities Exchange Act of 193-Title: Act Chief Counsel and Assistant Corporate Secretary Telephone Number: 10% //0 (212) 656-2938 Manual Signature of Official Responsible for Form: Public OCT 1 A 2014 Availability: October 23, 2014 SEC 2449 (1/99)



## RECEIVED 2014 OCT 27 AM 10: 05 SEC / MR

Martha Redding
Chief Counsel
Assistant Corporate Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T+1 212 656 2838 F+1 212 656 8101 mredding@nyx.com

October 23, 2014

## Via Overnight Mail

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

SEC Mail Processing Section OCT 242014

Washington DC 404

Re: 19b-4(e) - First Trust Eurozone AlphaDEX ETF

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

## First Trust Eurozone AlphaDEX ETF (FEUZ)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act Securitles Exchange Act of 1934	,,,,,,,
Section 195-4	
Rule 19b-4(o)	_
Public Availability: OCT 2 4 2014	