

091-17208 fn

For Internal Use Only

Sec File No. 9-

RECEIVED

2014 MAY 28 PM 2:27

SEC / MR

Submit 1 Original
and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

OMB APPROVAL

OMB Number: 3235-0504
Expires: August 31, 2013
Estimated SEC
hourly response 3.60

Mail Processing

Section

MAY 28 2014

Washington DC

404

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Ownership of the Trust
- Name of Underlying Instrument:
Merk Gold Trust
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:
OUNZ
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Various
- Settlement Methodology of New Derivative Securities Product:
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):
See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Peter D. Santori

Title: Executive Vice President
Chief Compliance Officer, Chief Regulatory Officer

Telephone Number:
312-663-2402

Manual Signature of Official Responsible for Form:
[Signature]

Date:
May 23, 2014

Act: Securities Exchange Act of 1934
Section: 19b-4
Rule: 19b-4(e)
Public Availability: MAY 28 2014



Chicago Stock Exchange

May 23, 2014

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

Table with 2 columns: Ticker and Product Name. Rows include ASHS db X-trackers Harvest CSI 500 China A-Shares Small Cap Fund and OUNZ Merk Gold Trust.

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Handwritten signature of Peter D. Santori

Peter D. Santori
Executive Vice President
Chief Compliance Officer
Chief Regulatory Officer

Enclosures

Table with 2 columns: Field and Value. Fields include Act (Securities Exchange Act of 1934), Section (19b-4), Rule (19b-4(e)), and Public Availability (MAY 28 2014).

RECEIVED
2014 MAY 28 PM 2:26
SEC / HR

SEC
Mail Processing
Section
MAY 28 2014
Washington DC
404