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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

3235-0504 **OMB Number:** 

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> Mail Processing Section

MAY 8 2014

Information Required of a Self-Regulatory Organization Listing and Trading a New Information Required of a Self-Regulatory Organization 2000 Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange According to Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Pursuant to Rule 19b-

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGA Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Class of New Derivative Securities Product: 3. See attached. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. Ticker Symbol(s) of New Derivative Securities Product: See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. Settlement Methodology of New Derivative Securities Product: 8. . Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon VP, Associate General Counsel Telephone Number: (913) 815-7154 Act Securicies Exchange Act of 1934 Manual Signature of Official Responsible for Form: Section 19b-4 17/1-4(0) Date: Public May 7, 2014 1994 V 0 2014 SEC 2449 (6-01)

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA EXCHANGE, INC. COMMENCING ON MAY 2, 2014

				(7) Market(s) upon	(E) D
<u>(6)</u>	(2) Type of			Which Securities	(5) Broad
<u>Ticker</u>	<u>Issuer of</u>		(4) Name of Underlying	Comprising Underlying	<u>or</u>
<u>Symbol</u>	NDSP	(3) Class of NDSP	<u>Instrument</u>	<u>Instrument Trades</u>	<u>Narrow</u>
					· .
QAT	Trust	Investment Company Units	MSCI All Qatar Capped Index	Various World Markets	Broad_
		Investment Company		•	
UAE	Trust	Units	MSCI All UAE Capped Index	Various World Markets	Broad
		Investment Company	Solactive Subordinated Bond		
SUBD	Trust	Units	Index	Various U.S. Markets	Broad
			Wells Fargo Hybrid and		
		Investment Company	Preferred Securities Floating		
VRP	Trust	Units	and Variable Rate Index	Various World Markets	Broad



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May 7, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

SEC
Mail Processing
Section
MAY 8 2014
Washington DC
404

RE: Form 19b-4(e) – EDGA Exchange, Inc.

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find two (2) executed Forms 19b-4(e) and 9 copies. The enclosed Forms 19b-4(e) contain information regarding one (1) derivative securities product that commenced trading on EDGA Exchange on May 1, 2014 and four (4) derivative securities products that commenced trading on EDGA Exchange on May 2, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act	Securities Exchange Act of 1934
Section Rule	19b-4 19b-4(e)
Public Availability:	MAY 0 8 2014