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SEC / MR

UNITED STATES  
 SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549  
 FORM 19b-4(e)

JUL 7 2014  
 Washington DC

Information Required of a Self-Regulatory Organization Listing and Trading a New  
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)



14010490

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

3. Class of New Derivative Securities Product:

Investment Company Units

4. Name of Underlying Instrument:

CEMP U.S. Large Cap High Dividend 100 Long/Cash Volatility Weighted Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad

6. Ticker Symbol(s) of New Derivative Securities Product:

CDC

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, NASDAQ

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Chief Counsel and Assistant Corporate Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

July 2, 2014

SEC 2449 (1/99)

|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | JUL 07 2014                     |

Martha Redding  
Chief Counsel  
Assistant Corporate Secretary

NYSE Arca, Inc.  
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2014 JUL -7 PM tel: 212.656.2938  
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SEC  
Mail Processing  
Section

JUL 7 2014

Washington DC  
404

Via Overnight Mail

July 2, 2014

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

Re: COMPASS EMP FUNDS

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- Compass EMP U.S. EQ Income 100 Enhanced Volatility Weighted Fund CDC
- Compass EMP U.S. 500 Volatility Weighted Index ETF CFA
- Compass EMP U.S. 500 Enhanced Volatility Weighted Index ETF CFO

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | JUL 07 2014                     |