

091-17320-jm

For Internal Use Only
Sec File No. RECEIVED

Submit 1 Original
and 9 Copies

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
hours per response.....3.60

2014 JUN 19 PM 3:09

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

SEC
Mail Processing
Section

SEC / MR

FORM 19b-4(e)

JUN 19 2014

Information Required of a Self-Regulatory Organization Listing and Trading of
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGX Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: June 18, 2014

Act	Securities Exchange Act of 1934
Section	19b-4
Title	19b-4
Public Availability	JUN 19 2014

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC.
 COMMENCING ON JUNE 13, 2014

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
IEUR	Trust	Investment Company Units	MSCI Europe Investable Market Index	Various World Markets	Broad
IPAC	Trust	Investment Company Units	MSCI Pacific Investable Market Index	Various World Markets	Broad
DGRO	Trust	Investment Company Units	Morningstar U.S. Dividend Growth Index	Various U.S. Markets	Broad
IUSB	Trust	Investment Company Units	Barclays U.S. Universal Index	Various U.S. Markets	Broad
QAUS	Trust	Investment Company Units	MSCI Australia Quality Mix Index	Various World Markets	Broad
QCAN	Trust	Investment Company Units	MSCI Canada Quality Mix Index	Various World Markets	Broad
QDEU	Trust	Investment Company Units	MSCI Germany Quality Mix Index	Various World Markets	Broad
QJPN	Trust	Investment Company Units	MSCI Japan Quality Mix Index	Various World Markets	Broad
QESP	Trust	Investment Company Units	MSCI Spain Quality Mix Index	Various World Markets	Broad
QGBR	Trust	Investment Company Units	MSCI UK Quality Mix Index	Various World Markets	Broad



RECEIVED

2014 JUN 19 PM 3: 09

SEC / MR

SEC
Mail Processing
Section

JUN 19 2014

Washington DC
404

June 18, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding ten (10) derivative securities products that commenced trading on EDGX Exchange on June 13, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
VP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 19 2014