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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

SEC / MR

Washington, D.C. 20549
FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504

Expires: August 31, 2010
Estimated average burden
hours pe (15) nse.....3.60

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JUN 192014

Information Required of a Self-Regulatory Organization Listing and Trading a New Washington Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 404

|         |  | 104   |  |  |  |
|---------|--|---|--|--|--|
|         | · READ ALL INSTRUCTIONS PRIOR TO   | COMPLETING FORM   |  |  |  |
| Part I  | Initial Listing Rep  |   |  |  |  |
| 1.      | Name of Self-Regulatory Organization Listing New Derivative Securities Product:  BATS Exchange, Inc.   |   |  |  |  |
| 2.      | Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached.   |   |  |  |  |
| 3.      | Class of New Derivative Securities Product: See attached.  |   |  |  |  |
| 4.      | Name of Underlying Instrument: See attached.   |   |  |  |  |
| 5.      | If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached.   |   |  |  |  |
| 6.      | Ticker Symbol(s) of New Derivative Securities Product: See attached.   |   |  |  |  |
| 7.      | Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached.   |   |  |  |  |
| 8.      | Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC.   |   |  |  |  |
| 9.      | Position Limits of New Derivative Securities Product (if applicable): Not applicable.  |   |  |  |  |
| Part II | Execution  |   |  |  |  |
|         | The undersigned represents that the governing body of the above approved, or has duly delegated its approval to the undersigned derivative securities product according to its relevant trading restandards. | for, the listing and trading of the above-referenced new                    |  |  |  |
| Name    | of Official Responsible for Form: Anders Franzon   |   |  |  |  |
| Title:  | VP, Associate General Counsel  |   |  |  |  |
| Teleph  | one Number: (913) 815-7154   | No. 2, 2, 2, 3, 2, 3, 2, 3, 2, 4, 2, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, 4, |  |  |  |
| Manua   | Signature of Official Responsible for Form:  | Vet Secultics exchause yet at 189.  |  |  |  |
|         | Ma   | Section 1953  |  |  |  |
| Date:   |  | Rule 100.4(6)   |  |  |  |
| 1       | June 18, 2014  | Public 1 2 2011   |  |  |  |
|         |  | Availabilityi JUN 13 2911   |  |  |  |
| SEC 244 | <del>19 (6-01)</del>   | V 41 012100 3311259 *   |  |  |  |

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON JUNE 12, 2014

|   | <u>(6)</u>    | (2) Type of      |                    | ,   | (7) Market(s) upon<br>Which Securities | (5) Broad     |
|---|---------------|------------------|--------------------|---|--|---------------|
|   | <u>Ticker</u> | <u>Issuer of</u> | (2) Olasa af NDOD  | (4) Name of Underlying                                | Comprising Underlying                  | <u>or</u>     |
| 1 | Symbol        | <u>NDSP</u>      | (3) Class of NDSP  | <u>Instrument</u>                                     | Instrument Trades                      | <u>Narrow</u> |
|   |               |                  |                    | Credit Suisse FI Large Cap<br>Growth Enhance Exchange |  |               |
| İ | FLGE          | Corporation      | Index Linked Notes | Traded Notes  | Various U.S.Markets                    | Broad         |
|   |               |                  |                    | UBS AG FI Enhanced Large                              |  | •             |
| ١ | FBGX          | Corporation      | Index Linked Notes | Cap Growth ETN  | Various U.S.Markets                    | Broad         |
|   |               | ,                |                    | ETRACS Wells Fargo MLP Ex-                            |  |               |
|   | FMLP          | Corporation      | Index Linked Notes | Energy ETN  | Various U.S.Markets                    | Broad         |



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SEC

Washington DC 404

June 18, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

> RE: Form 19b-4(e) - BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on BATS Exchange on June 12, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely

Anders Franzon

VP, Associate General Counsel

913.815.7154

| Act                     | Gecuritica Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section                 | 195-4                           |
| Rule                    | 11)b-4(e)                       |
| Public<br>Availability: | JUN 1 9 2014                    |
|                         |                                 |