OMB APPROVAL Submit 1 Original For Internal Use Only Sec File No. SECEIVED OMB Number: 3235-0504 and 9 Copies Expires: August 31, 2010 Estimated average burden hours per response.....3.60 UNITED STATES 2014 JUN 19 PM 3: 05 CURITIES AND EXCHANGE COMMISSION Mail Processing Washington, D.C. 20549 SFC / MR Section JUN 192014 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading Webington DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I Initial Listing Report 10481 Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. 4. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: 8. Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Act Gammitton Manual Signature of Official Responsible for Form: Section 195.4 Date: June 18, 2014 Public HIM I G 2011 Avainwilley

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ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON JUNE 12, 2014

| <u>(6)</u> <u>Ticker</u> Symbol | (2) Type of Issuer of NDSP | (3) Class of NDSP | (4) Name of Underlying Instrument | (7) Market(s) upon Which Securities Comprising Underlying Instrument Trades | <u>(5) Broad</u> <u>or</u> <u>Narrow</u> |
|---------------------------------------|----------------------------------|--------------------|---|--|--|
| FLGE | Corporation | Index Linked Notes | Credit Suisse Fl Large Cap Growth Enhance Exchange Traded Notes | Various U.S.Markets | Broad |
| FBGX | Corporation | Index Linked Notes | UBS AG FI Enhanced Large Cap Growth ETN | Various U.S.Markets | Broad |
| FMLP | Corporation | Index Linked Notes | ETRACS Wells Fargo MLP Ex- Energy ETN | Various U.S.Markets | Broad |



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Washington DC 404

June 18, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on EDGX Exchange on June 12, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

| Act | Securities Enchange Act of 1934 |
|-------------------------|---------------------------------|
| Section | 195-4 |
| Rule | 19-4(0) |
| Public Availability: | JUN 1.9 2014 |
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