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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

3235-0504 OMB Number: August 31, 2010 Expires: Estimated verage burden hours per response 3.60 Vicil Processing Section

FORM 19b-4(e)

JUN 0 5 2014

Information Required of a Self-Regulatory Organization Listing and Trading all Newton DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

| | READ ALL INSTRUCTIONS PRIOR TO | COMPLETING | | | |
|---------|---|-------------------|--|--|--|
| Part I | Initial Listing Repo | rt | | | |
| 1. | Name of Self-Regulatory Organization Listing New Derivative Se EDGX Exchange, Inc. | | 14010437 | | |
| 2. | Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached. | | | | |
| 3. | Class of New Derivative Securities Product: See attached. | | 20 | | |
| 4. | Name of Underlying Instrument: See attached. | , | S. S. KE | | |
| 5. | If Underlying Instrument is an Index, State Whether it is Broad-E See attached. | Based or Narrow-I | | | |
| 6. | Ticker Symbol(s) of New Derivative Securities Product: See attached. | | 新 思 | | |
| 7. | Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: دع المحتادة | | | | |
| 8. | Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. | | | | |
| 9. | Position Limits of New Derivative Securities Product (if applicable): Not applicable. | | | | |
| Part II | Execution | | | | |
| | The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. | | | | |
| Name | of Official Responsible for Form: Anders Franzon | | | | |
| Title: | VP, Associate General Counsel | | SSA TREE CONTROL OF THE STATE O | | |
| Teleph | one Number: (913) 815-7154 | Act Section | Securitles Exchange Act of 1934 | | |
| Manua | Signature of Official Responsible for Form: | Rule Public | 19b-4(e) | | |
| Date: | June 4, 2014 | Availability: | JUN 05 2014 | | |

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON MAY 30, 2014

| | | | | (7) Market(s) upon | |
|---------------|-------------|--------------------|-------------------------------|--------------------------|---------------|
| <u>(6)</u> | (2) Type of | | • | Which Securities | (5) Broad |
| <u>Ticker</u> | Issuer of | | (4) Name of Underlying | Comprising Underlying | <u>or</u> |
| Symbol | <u>NDSP</u> | (3) Class of NDSP | <u>Instrument</u> | <u>Instrument Trades</u> | <u>Narrow</u> |
| | | | | | |
| | | • | | | |
| | | Investment Company | Morgan Stanley Multi-Strategy | • | |
| LALT | Trust | Units | Alternative Index | Various World Markets | Broad |
| | | | | | |
|]. | | | U.S. dollar-denominated | | |
| | | Investment Company | investment-grade corporation | | |
| LQDH | Trust | Units | bonds | Various U.S. Markets | Broad |
| | | | | | |
| | | | | | |
| | | Investment Company | U.S. dollar-denominated high | | |
| HYGH | Trust | Units | yield corporation bonds | Various U.S. Markets | Broad |
| | | | | | İ |
| | | | U.S. dollar-denominated | | |
| • | i | Investment Company | investment-grade corporation | · | <u> </u> |
| IBDH | Trust | Units | bonds | Various U.S. Markets | Broad |
| | | | | | |
| | | | U.S. dollar-denominated | · | |
| | | Investment Company | investment-grade corporation | | |
| IBDF | Trust | Units | bonds | Various U.S. Markets | Broad |



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SEC / MR

June 4, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 SEC
Mail Processing
Section
JUN () 5 7014
Washington DC
404

RE: Form 19b-4(e) – EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding five (5) derivative securities products that commenced trading on EDGX Exchange on May 30, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

| Act | Securities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section Rule | 195-4 195-4(e) |
| Public Availability: | JUN 0.5 2014 |
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