091-17866

Submit 1 Original
and 9 Copies

SEC
Mail Processing
Section

JUN 0 5 2014

Washington DC
404

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I	Initial Listing Report

14010432

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
   NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.).
   Open End Management Investment Company

3. Class of New Derivative Securities Product:
   Exchange Traded Fund

4. Name of Underlying Instrument:
   S&P 500 Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
   Broad-based

6. Ticker Symbol(s) of New Derivative Securities Product:
   SPUU

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
   Listed on: CME Group, NASDAQ Stock Market LLC, NYSE

8. Position Limits of New Derivative Securities Product (if applicable):
   Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):
   N/A

Part II	Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Joan Conley

Title:
Secretary

Telephone Number:
301-978-8735

Manual Signature of Official Responsible for Form:

Date: **May 30, 2014**

Act

Section   19b-4

Rule   19b-4(e)

Public Availability: JUN 0 5 2014