71-1716

For Internal Use Only	RECE	IVED	
Sec File No. 9-	MAR 20	PH 12:	58

Submit 1 Original and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden

UNITED STATES

SEC / MR SECURITIES AND EXCHANGE COMMISSIONI Processing fours per response.....3.60 Washington, D.C. 20549

Section

SEC

MAR 2 0 2014

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company 3. Class of New Derivative Securities Product: **Exchange Traded Fund**

- 4. Name of Underlying Instrument: Workplace Equality Index
- 5. If Underlying Instrument is an Index. State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product: **EQLT**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Execution Part II

> The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley Title: Secretary Telephone Number: 301-978-8735 Manual Signature of Official Responsible for Form:

Date: February 28, 2014 SEC 2449 (6-01)

Securities Exchange Act of 1021

Section 195-4

Public Availability:

MAR 2 0 2014