

091-171391

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OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

SEC / MR UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM	
ert I	Initial Listing Report
The NASDAQ Stock	ory Organization Listing New Derivative Securities Product: Market LLC (traded pursuant to unlisted trading privileges)
Type of Issuer of New	Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): nent Investment Company
. Class of New Derivative Exchange Traded F	
 Name of Underlying In MSCI China A Index 	K
Broad-based	ent is an Index, State Whether it is Broad-Based or Narrow-Based:
KBA	lew Derivative Securities Product:
Listed on: Shangha	on Which Securities Comprising Underlying Instrument Trades: al Stock Exchange, Shenzhen
Regular way trades	w Derivative Securities Product (if applicable): s settle on T + 3 (cash settled)
 Position Limits of New N/A 	w Derivative Securities Product (if applicable):
Part II	Execution
anneauad ar had	I represents that the governing body of the above-referenced Self-Regulatory Organization has duly study delegated its approval to the undersigned for, the listing and trading of the above-referenced securities product according to its relevant trading rules, procedures, surveillance programs and listing
Name of Official Responding	sible for Form:
Title: Secretary	
Telephone Number: 301-978-8735	
Manual Signature of Offi	icial Responsible for Form:
Date: March 07, 2014	Rule 105.763
SEC 2449 (6-01)	Public Availability: MAR 2 0 2014