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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

MAR 31 2014
Washington DC
404

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:**
The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):**
Open End Management Investment Company
- Class of New Derivative Securities Product:**
Exchange Traded Fund
- Name of Underlying Instrument:**
Solactive Guru International Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:**
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:**
GURI
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:**
Listed on: NASDAQ Stock Market LLC, NYSE
- Position Limits of New Derivative Securities Product (if applicable):**
Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):**
N/A

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Joan Conley

Title:
Secretary

Telephone Number:
301-978-8735

Manual Signature of Official Responsible for Form:

Date: March 18, 2014

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

SEC 2449 (6-01)

Public Availability: **MAR 31 2014**