091-1711gm

For Internal Use Only Sec File No. 9-

RECEIVED

Submit 1 Original and 4 copies

OMB APPROVAL
OMB Number: 3235-0504
Expires July 31, 2004
Estimated average burden
hours per response. . 2.00

2014 MAR 28 PM 2: 42 SEC / MR



UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

SEC Mail Processing Section

MAR 282014

Information Required of a Self-Regulatory Organization Listing and Trading a New Shington DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

AdvisorShares Investments, LLC

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:

GLDE: Exchange-Traded Currency Futures; OTC Foreign Exchange Forward Contracts GYEN: Exchange-Traded Currency Futures; OTC Foreign Exchange Forward Contracts

GEUR: Exchange-Traded Currency Futures; OTC Foreign Exchange Forward Contracts

GGBP: Exchange-Traded Currency Futures; OTC Foreign Exchange Forward Contracts

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 4 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

GLDE; GYEN; GEUR; GGBP

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

GLDE: Various World Markets GYEN: Various World Markets GEUR: Various World Markets GGBP:Various World Markets

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	Eric Swanson
Title:	Executive Vice President & General Counsel
Telephone Number:	₩ A212-378-8523 (NY) 201-418-3410 (NJ) 01 1954
Manual Signature of Official Responsible for Form:	1 555
Date:	SMarch 24, 2014 5-4
	3.5 3.7703

Time

)\$ 0-4(c)

Public

Availability:

MAR 28 2014