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**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 AMENDED FORM 19b-4(e)

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Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

## **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGA Exchange, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

AdvisorShares Investments, LLC

3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:



GLDE: Exchange-Traded Currency Futures; OTC Foreign Exchange Forward Contracts GYEN: Exchange-Traded Currency Futures; OTC Foreign Exchange Forward Contracts GEUR: Exchange-Traded Currency Futures; OTC Foreign Exchange Forward Contracts GGBP: Exchange-Traded Currency Futures; OTC Foreign Exchange Forward Contracts

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 4 indexes referred to in item 4 above are Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

GLDE; GYEN; GEUR; GGBP

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

GLDE: Various World Markets GYEN: Various World Markets **GEUR: Various World Markets** GGBP: Various World Markets

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **Eric Swanson** Title: Executive Vice President & General Counsel Telephone Number: 1 212-378-8523 (NY): 201-418-3410 (NJ): of 1034 Manual Signature of Official Responsible for Form: Date: -March 24, 2014 2

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