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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549
AMENDED FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

**Initial Listing Report** 

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGA Exchange, Inc.
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
  AdvisorShares Investments, LLC
- 3. Class of New Derivative Securities Product:

Investment Company Unit

4. Name of Underlying Instrument:



14010201

HOLD: Fixed-Income Securities; U.S. Dollar-Denominated Investment Grade Debt Securities

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based:

The 1 index referred to in item 4 above is Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

HOLD

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**HOLD: Various World Markets** 

(Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.)

8. Settlement Methodology of New Derivative Securities Product:

T+3, Physical Settlement

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

		4
Name of Official Responsible for Form:	Eric Swanson	
Title:	Executive Vice President & General Counsel	ĺ
Telephone Number:	212-378-8523 (NY).201-418-3410 (NJ) of of 19	4
Manual Signature of Official Responsible for Form:	(E)	
Deter	March 2014 195-4	_

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