

091-170722

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549
FORM 19b-4(e)

Section
MAR 31 2014
Washington DC

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NYSE Arca, Inc.



14010284

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

3. Class of New Derivative Securities Product:

Investment Company Units

4. Name of Underlying Instrument:

S-Network Emerging Sector Dividend Dogs Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

EDOG

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, Sao Paulo, Santiago, Hong Kong, Bogota, Prague, Cairo, Indonesia Stock Exchange, Casablanca, Mexico City, Kuala Lumpur, Manila, Warsaw, Micex, Bangkok, Istanbul, and Johannesburg

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Sudhir Bhattacharyya

Title:

Vice President

Act Securities Exchange Act of 1934

Telephone Number:

(212) 656-2920

Section 19b-4

Manual Signature of Official Responsible for Form:

Date: 3/31/2014

March 28, 2014

Public Availability: MAR 31 2014

Sudhir Bhattacharyya
Vice President



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SEC / MR

NYSE Arca, Inc.
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New York, NY 10005

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sbhattacharyya@nyx.com

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Section
MAR 31 2014
Washington DC
404

Via Overnight Mail

March 28, 2014

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: ALPS EMERGING SECTOR DIVIDEND DOGS ETF

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- ALPS Emerging Sector Dividend Dogs ETF EDOG

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(a)
Date	MAR 31 2014