

For Internal Use Only  
Sec File No. 9-

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: August 31, 2013  
Estimated average burden  
hours per response: 3.60

SECURITIES AND EXCHANGE COMMISSION  
RECEIVED  
MAR 11 2014

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

Mail Processing  
Section

MAR 11 2014

Washington DC

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



14010280

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Ownership of the Trust
- Name of Underlying Instrument:  
Horizons Koreas KOSPI 200 ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:  
HKOR
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Peter D. Santori

Title: Executive Vice President  
Chief Compliance Officer, Chief Regulatory Officer

Telephone Number:  
(312) 663-2402

Manual Signature of Official Responsible for Form:  
*Peter D. Santori*

Date:  
March 07, 2014

Act: Securities Exchange Act of 1934  
Section: 19b-4(e)  
Public Availability: MAR 11 2014