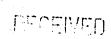
			071 - 17000
For In		Submit 1 Original	OMB APPROVAL OMB Number; 3235-
Sec Fi	ile No. 9- RECEIVED	and 9 Copies	Expires: August 31,
۰,		JNITED STATES	Estimated average burde
•		ND EXCHANGE COMMISS	ION hours per response
· ·	Was	shington, D.C. 20549	Mail Processing
		DRM 19b-4(e)	Section
•	Information Required of a Self-Re Derivative Securities Product Pursuant to	egulatory Organization Listin Rule 19b-4(e) Under the Sec	urities Excluded a new
	Derivative Securities Fround Fursuant to	Mule 190-4(c) Onder the Set	and a second sec
	READ ALL INSTRUCT	IONS PRIOR TO COMPLET	ing form 404
Part I	Tn	itial Listing Report	
			•
1.	Name of Self-Regulatory Organization Listing EDGX Exchange, Inc.		
2.	Type of Issuer of New Derivative Securities Pr See attached.	roduct (e.g., clearinghouse, bro	ker-dealer, corporation, etc.):
3.	Class of New Derivative Securities Product:		T T a d a da se a cara da se a car
	See attached.		
4.	Name of Underlying Instrument: See attached.		14010274
5.	If Underlying Instrument is an Index, State Wh See attached.	hether it is Broad-Based or Nar	row-Based:
6.	Ticker Symbol(s) of New Derivative Securities See attached.	s Product:	
7.	Market or Markets Upon Which Securities Con See attached.	mprising Underlying Instrumer	nt Trades:
8.	Settlement Methodology of New Derivative Se Trades locked in at Exchan		NSCC.
9.	Position Limits of New Derivative Securities I	Product (if applicable):	
	Not applicable.		
Part I		Execution	
			**
•	The undersigned represents that the governing approved, or has duly delegated its approval to derivative securities product according to its r standards.	o the undersigned for, the listin	g and trading of the above-referenced
Name	of Official Responsible for Form: Anders		
Ivaille	or emeral responsible for Form. Anders	Franzon	
Title:	VP, Associate General Couns	sel	
-			
Telepl	hone Number: (913) 815-7154	Act	Securities Exchange Act of 1934
Manu	al Signature of Official Responsible for Form:	Section	19b-4
	4.00-	Rule	<u>19h-4(e)</u>
		Public	
Date:	March 11, 2014	Availability	·: MAR 1 2 2014

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON MARCH 5, 2014

<u>(6)</u> <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon <u>Which Securities</u> <u>Comprising Underlying</u> Instrument Trades	<u>(5) Broad</u> <u>or</u> <u>Narrow</u>
HKOR	Trust	Investment Company Units	KOSPI 200 Index	Various U.S. Markets	Broad





- 5.0

March 11, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

> Form 19b-4(e) – EDGX Exchange, Inc. RE:

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on EDGX Exchange on March 5, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

Securities Exchange Act of 1934			
19b-4 195-4(c)			
MAR 1 2 2014			
	<u>19b-4</u> 195-2(e)		

TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM

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