

091-16993

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2013
Estimated average burden
hours per response: 3.60

RECEIVED

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

2014 MAR 18 AM 11:07 Washington, D.C. 20549

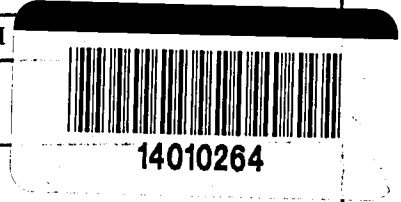
Mail Processing
Section

SEC / MR FORM 19b-4(e)

MAR 18 2014

Information Required of a Self-Regulatory Organization Listing and Trading in Washington DC
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
The NASDAQ Stock Market LLC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
- Class of New Derivative Securities Product:
Exchange Traded Fund
- Name of Underlying Instrument:
Richard Bernstein Advisors Quality Income Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad Based
- Ticker Symbol(s) of New Derivative Securities Product:
QINC
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NASDAQ GS, New York, NYSE MKT LLC
- Settlement Methodology of New Derivative Securities Product:
Regular way trades settle T+3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Lisa Roberts

Title:
Vice President, Listing Qualifications

Telephone Number:
(301) 978-8081

Manual Signature of Official Responsible for Form:

Date:
March 14, 2014

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4
Public Availability	MAR 18 2014