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For Internal Use Only Sec File No. 91 -	. 10	Submit 1 Original and 9 Copies	OMB Approva Expires:	Mail Processing
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		UNITED STATES ITIES AND EXCHANGE CO Washington, D.C. 20549 FORM 19b-4(e)	OMMISSION	MAR 1 3 2014 Washington DC
Inf Darivativa	ormation Required of	of a Self-Regulatory Organization Pursuant to Rule 19b-4(e) Under	on Listing and Trading a	New
Derivative		ISTRUCTIONS PRIOR TO CO		Act of 1934
Part I	South Section Section and Control of the Section of the Section Sectio	Initial Listing Report		I (20) \$1 (18) ( \$18) ( \$18) ( \$18)
1. Name of Self-Regulatory C	rganization Listing N	ew Derivative Securities Product:	कर्मकारिका सम्बद्धाः सम्बद्धाः समित्रः । इ.स.च्या	
NYSE Arca, Inc.		Profession and Control of the Contro		
2. Type of Issuer of New Deri	vative Securities Prod	luct (e.g., clearinghouse, broker-d	ealer, corporation, etc.).	14010261
Open-end Management I	nyestment Compai	ny von de la company de la Company de la company de l		
3. Class of New Derivative Se		and the second section of the section o		m 1800 (1994) [2010] [2010] [2010] [2010] [2010] [2010] [2010] [2010] [2010] [2010] [2010] [2010] [2010] [2010]
Investment Company Un	lts	gygnegektelleger och upper i palen i stekkule. Hertiger	The Control of the Co	
4. Name of Underlying Instru				the state of the s
Dorsey Wright Focus Fiv	e Index			
5 If Underlying Instrument is	an Index State Whet	her it is Broad-Based or Narrow-E	Porodi	A management of the property of the company of the
Broad-Based	Control of the contro	ilet it is bload-based of Ivaliow-L	Jaseu.	
6. Ticker Symbol(s) of New D	Perivative Securities P	roduct:	of the state of th	one germanistrania proprio de la comparta de la co
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	angan gana <del>di</del> gg			garage of the second second
	hich Securities Comp	rising Underlying Instrument Tra	des:	मान्त्रित स्वाहित्या स्तित स्वाहित्य स्वाहित्य स्वाहित्य
NASDAQ; NYSE Arca				The state of the s
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3. Settlement Methodology of	New Derivative Secu	rities Product:	rangere er en en en en en er en	大洋量的 特别的 计同时记录通讯的 经未完全 医外侧
8. Settlement Methodology of Regular way trades settle				
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Regular way trades settle 9. Position Limits of New Der	on T+3/Book entr	y only held in DTC.		কু প্ৰশ্বাস ক্ষেত্ৰিক স্থানিক ক্ষেত্ৰ কৰিব কৰিব কৰিব কৰিব কৰিব কৰিব কৰিব কৰি
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Regular way trades settle  9. Position Limits of New Der  Not applicable.  Part II	on T+3/Book entr	y only held in DTC.  duct (if applicable):  Execution	ulatory Organization bac	on an entre of the control of the co
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NYSE Arca, Inc. 11 Wall Street New York, NY 10005

tel: 212.656.2039 fax: 212.656.8101 jmcginness@nyx.com

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Washington DC 404

## Via Overnight Mail

March 6, 2014

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: First Trust Dorsey Wright Focus 5 ETF

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

• First Trust Dorsey Wright Focus 5 ETF

FV

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Amet Mannes

**Enclosures** 

Securities Exchang.

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195-4(e)

Public Avanability: MAR 13 2014