

091-16985 for

For Internal Use Only - <b>RECEIVED</b>	Submit 1 Original and 9 Copies	OMB Approval No.:
Sec File No. 91 - <b>RECEIVED</b>		Expires: <b>SECURITIES AND EXCHANGE COMMISSION</b>

Estimated average burden hours per response: 2.00

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**RECEIVED  
MAR 5 2014**

Information Required of a Self-Regulatory Organization Listing and Trading Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**NYSE Arca, Inc.**



2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open-end Management Investment Company**

3. Class of New Derivative Securities Product:  
**Investment Company Units**

4. Name of Underlying Instrument:  
**Workplace Equality Index**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad Based**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**EQLT**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**NYSE; Nasdaq; Toronto, XETRA, Swiss Stock Exchange**

8. Settlement Methodology of New Derivative Securities Product:  
**Regular way trades settle on T+3/Book entry only held in DTC.**

9. Position Limits of New Derivative Securities Product (if applicable):  
**Not applicable.**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Janet L. McGinness**

Title:  
**Corporate Secretary**

Telephone Number:  
**(212) 656-2039**

Manual Signature of Official Responsible for Form:  
*Janet McGinness*

March 4, 2014

Act	Securities Exchange Act of 1934
Section	17b-4
Public Availability:	<b>MAR 05 2014</b>

Janet McGinness  
Corporate Secretary



NYSE Arca, Inc.  
11 Wall Street  
New York, NY 10005

tel: 212.656.2039  
fax: 212.656.8101  
jmcginness@nyx.com

SECURITIES AND EXCHANGE COMMISSION  
**RECEIVED**  
MAR 5 2014  
DIVISION OF TRADING & MARKETS

**Via Overnight Mail**

March 4, 2014

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

Re: **ALPS ETF Trust - Workplace Equality Portfolio**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Workplace Equality Portfolio

EQLT

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 05 2014