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2014 MAR -5 PM 2: 26 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

SEC / MR

Washington, D.C. 20549

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Section

MAR 0 4 2014

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Tradingia Con DC Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

	READ ALL INSTRUCTIONS PRIOR TO CO	MPLETING FORM	A LORDON LURA BARA GRANDI DARA BARAR LIBAH GURA BARA BARA ING		
Part I	Initial Listing Report		14010246		
1.	Name of Self-Regulatory Organization Listing New Derivative Secur BATS Y-Exchange, Inc.	ities Product:	14010240		
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached.				
3.	Class of New Derivative Securities Product: See attached.				
4.	Name of Underlying Instrument: See attached.				
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached.				
6.	Ticker Symbol(s) of New Derivative Securities Product: See attached.				
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached.				
8.	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC.				
9.	Position Limits of New Derivative Securities Product (if applicable) Not applicable.				
Part II	Execution				
	The undersigned represents that the governing body of the above-ref approved, or has duly delegated its approval to the undersigned for, derivative securities product according to its relevant trading rules, p standards.	the listing and trading	of the above-referenced new		
Name	of Official Responsible for Form: Anders Franzon				
Title:	VP, Associate General Counsel	Act Con	The same and great the color of		
· .	one Number: (913) 815-7154	Section 19b			
Manua	Signature of Official Responsible for Form:	Public 190	-((n)		
Date:	March 4, 2014	Availability:	uR û 4 2914		

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC. COMMENCING ON FEBRUARY 27, 2014

(6)	(2) Type of			(7) Market(s) upon Which Securities	(5) Broad
Ticker	Issuer of	•	(4) Name of Underlying	Comprising Underlying	<u>or</u>
Symbol	NDSP-	(3) Class of NDSP	Instrument	<u>Instrument Trades</u>	<u>Narrow</u>
	3.1		Barclays 0-5 Year US		
· .		Investment Company	Government Inflation-linked		
SIPE	Trust	Units	Bond Index	Various U.S. Markets	Broad
		Investment Company	Equity securities of international large-		. 1
IEIL	Trust	Units	capitalization issuers	Various World Markets	Broad
	•		Equity securities of		
IEIS	Trust	Investment Company Units	international small- capitalization issuers	Various World Markets	Broad



SEC / HR

March 4, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

SEC Mail Processing Section MAR U 4 2014 Washington DC 404

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on BATS Y-Exchange on February 27, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act	Securities Exchange	Securities Exchange Act of 1934		
Section lade	19b-4 19b-4(e)			
Public Availability:	MAR 0 4 2014			