SEC/MA

Only Sec File No. 94 2 L. Mail Processing MAR 052014

Submit 1 Original and 9 Copies

404

UNITED STATES Mashingsecurities and exchange commission Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

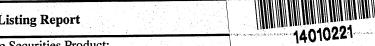
FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company

Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

Actively managed portfolio of securities

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Not Applicable**

6. Ticker Symbol(s) of New Derivative Securities Product:

GYEN

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: CME Group, Interbank Market, NYSE Arca, OTC

8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: February 21, 2014

SEC 2449 (6-01)

Securities Exchange Act of 1934

Public

Availability:

MAR 0 5 2014