•		· · ·	091-169651		
For Int Sec Fil	ternal Use OnlyEIVED le No. 9-	Submit 1 Original and 9 Copies	OMB APPROVAL OMB Number: 3235-0504 Expires:		
•,	2014 FEB - 3 PM 2: 22 SECURITIES	UNITED STATES	Expires: August 31, 2010 Estimated average burden ISSION hours pernespônsell 3.60		
	SEC / MR	Vashington, D.C. 20549	Section		
•		FORM 19b-4(e)	FEB 032014		
	Information Required of a Self Derivative Securities Product Pursuan	-Regulatory Organization Li t to Rule 19b-4(e) Under the	Washington DC isting and Trading a New AnA Securities Exchange Act of 1934		
	READ ALL INSTRU	CTIONS PRIOR TO COMPI	LETING FORM		
Part I		Initial Listing Report			
1.	Name of Self-Regulatory Organization List BATS Exchange, Inc.	ing New Derivative Securities	Product: 14010203		
2.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached.				
3.	Class of New Derivative Securities Product: See attached.				
4.	Name of Underlying Instrument: See attached.				
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached.				
6.	Ticker Symbol(s) of New Derivative Secur See attached.	ties Product:			
7.	Market or Markets Upon Which Securities See attached.	Comprising Underlying Instru	ment Trades:		
8.	Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC.				
9.	Position Limits of New Derivative Securities Product (if applicable): Not applicable.				
Part II	[Execution			
	The undersigned represents that the govern approved, or has duly delegated its approve derivative securities product according to i standards.	al to the undersigned for, the li	isting and trading of the above-referenced new		
Name	of Official Responsible for Form: Ander	s Franzon			
Title:	VP, Associate General Con	insel			
Teleph	one Number: (913) 815-7154	Act	Securities Encliminge Act of 1934		
Manua	I Signature of Official Responsible for Form	Sect Duly	401 4(.)		
		<u>p</u>			

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON JANUARY 27, 2014

			ENCING ON JANUARY 27, 201		
<u>(6)</u> <u>Ticker</u>	(2) Type of Issuer of		(4) Name of Underlying	(7) Market(s) upon Which Securities Comprising Underlying	(5) Broad <u>or</u>
<u>Symbol</u>	<u>NDSP</u>	(3) Class of NDSP	Instrument	Instrument Trades	<u>Narrow</u>
		Investment Company		· · ·	
ZMLP	Trust	Units	Zacks MLP Index	Various World Markets	Broad
DI	Trust	Investment Company Units	Fixed Income Instruments	Various World Markets	Broad
		Investment Company		Various World Markets	Broad
LDUR	Trust	Units	Fixed Income Instruments	Various World Markets	Divau
		Investment Company	MSCI Emerging Markets High		
QDEM	Trust	Units	Dividend Yield Index	Various World Markets	Broad
QEM	Trust	Investment Company Units	MSCI Emerging Markets Quality Index	Various World Markets	Broad
	Tust	Office			
QDXU	Trust	Investment Company Units	MSCI ACWI ex USA High Dividend Yield Index	Various U.S. Markets	Broad
QXUS	Trust	Investment Company Units	MSCI ACWI ex USA Quality Index	Various World Markets	Broad
DBAW	Trust	Investment Company Units	MSCI ACWI ex USA US Dollar Hedged Index	Various World Markets	Broad
рвко	Trust	Investment Company Units	MSCI Korea 25/50 US Dollar Hedged Index	Various World Markets	Broad
DBMX	Trust	Investment Company Units	MSCI Mexico IMI 25/50 US Dollar Hedged Index	Various World Markets	Broad



SEC Mail Processing Section FEB 0 3 2014 Washington DC 404

January 31, 2014

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding ten (10) derivative securities products that commenced trading on BATS Exchange on January 27, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

Act	Securities Exchange Act of 1934
Stollon Lufe	195-4 195-4(e)
Publi c Availability:	FEB 0 3 2014

TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM