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2014 FEB 11 PM 1:28 SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / MR

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC  
Mail Processing  
Section  
FEB 11 2014  
Washington DC  
404

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



14010166

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Chicago Stock Exchange
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- 3. Class of New Derivative Securities Product:  
Ownership of the Trust
- 4. Name of Underlying Instrument:  
iShares Treasury Floating Rate Bond ETF
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Narrow-Based
- 6. Ticker Symbol(s) of New Derivative Securities Product:  
TFLO
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Various
- 8. Settlement Methodology of New Derivative Securities Product:  
See Prospectus
- 9. Position Limits of New Derivative Securities Product (if applicable):  
See Prospectus

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
Peter D. Santori

Title: Executive Vice President  
Chief Compliance Officer, Chief Regulatory Officer

Act Securities Exchange Act of 1934

Telephone Number:  
(312) 663-2402

Section

Manual Signature of Official Responsible for Form:

Public FEB 11 2014

Date:  
February 07, 2014