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SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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# FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

#### Part I

#### **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)

14010159

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

  Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

- 4. Name of Underlying Instrument:

  MSCI Emerging Markets Quality Index
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

  Broad-based

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades;

- 6. Ticker Symbol(s) of New Derivative Securities Product:
- QEM

Listed on: Bogota, Bovespa, Cairo, Indonesia, Istanbul Stock Exchange, Johannesburg Stock Exchange, Lima Stock Exchange, Mexican Stock Exchange, National Stock Exchange Of India, Philippine Stock Exchange, RTS, Santiago Stock Exchange, Seoul, Shanghai Stock Exchange, Shenzhen, Taiwan Stock Exchange, Thailand, Warsaw

- 8. Position Limits of New Derivative Securities Product (if applicable):

  Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
   N/A

### Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: January 28, 2014

SEC 2449 (6-01)

Section 195

Public Avaignility:

FEB 03 28%

es Exchange Act of 1934