

091-16804

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION

2014 JAN 28 PM 3: 25

Washington, D.C. 20549

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

JAN 28 2014  
Washington DC  
404

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



14010078

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
First Trust High Income ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:  
FTHI
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:  
Cash
- Position Limits of New Derivative Securities Product (if applicable):

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title:  
Senior Regulatory Counsel

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date:  
January 23, 2014

Act	Securities Exchange Act of 1934
Section	10b-4
Rule	19b-4(e)
Public Availability:	JAN 28 2014

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National Stock Exchange  
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January 23, 2014

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street N.E.  
Washington, DC 20549

Re: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) under the Securities Exchange Act of 1934, enclosed please find one original and nine copies of completed Forms 19b-4(e), executed on behalf of the National Stock Exchange, Inc. (the "Exchange") for each of the following securities which were recently approved for trading on the Exchange:

- 1) JGBB
- 2) AGND
- 3) AGZD
- 4) HYND
- 5) HYZD
- 6) USDU
- 7) FTHI
- 8) FTLB
- 9) RDVY
- 10) SEMF
- 11) IEMF
- 12) LEMF
- 13) SYE
- 14) SYG
- 15) SYV
- 16) SHYD
- 17) HOLD
- 18) NYCC
- 19) VUSE
- 20) EURL
- 21) EURZ

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 28 2014

Ms. Gail Jackson

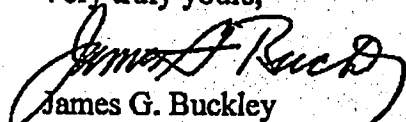
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- 22) ZMLP
- 23) DBAW
- 24) DBKO
- 25) DBMX
- 26) DI
- 27) LDUR
- 28) QXUS
- 29) QEM
- 30) QDXU
- 31) QDEM

Please contact me at (201) 499-3698 if you have any questions.

Very truly yours,



James G. Buckley  
Senior Regulatory Counsel

Enclosures