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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

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Section
JAN 16 2014

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See attached.
- Class of New Derivative Securities Product:
See attached.
- Name of Underlying Instrument:
See attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
See attached.
- Settlement Methodology of New Derivative Securities Product:
Trades locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: (913) 815-7154

Manual Signature of Official Responsible for Form:

Date: January 15, 2014

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

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ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.
 COMMENCING ON JANUARY 10, 2014

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
FTHI	Trust	Investment Company Units	Large-cap U.S. exchange-traded equity securities	Various U.S. Markets	Broad
FTLB	Trust	Investment Company Units	Large-cap U.S. exchange-traded equity securities	Various U.S. Markets	Broad
RDVY	Trust	Investment Company Units	NASDAQ Rising Dividend Achievers Index	Various U.S. Markets	Broad
IEMF	Trust	Investment Company Units	J.P. Morgan Custom EM Intermediate Term Investment Grade Bond Index	Various U.S. Markets	Broad
LEMF	Trust	Investment Company Units	J.P. Morgan Custom EM Long Term Investment Grade Bond Index	Various U.S. Markets	Broad
SEMF	Trust	Investment Company Units	Short Term Investment Grade Bond Index	Various U.S. Markets	Broad
SYE	Trust	Investment Company Units	S&P 500 Index	Various U.S. Markets	Broad
SYG	Trust	Investment Company Units	Russell 1000 Growth Index	Various U.S. Markets	Broad
SYV	Trust	Investment Company Units	Russell 1000 Value Index	Various U.S. Markets	Broad



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January 15, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding nine (9) derivative securities products that commenced trading on BATS Y-Exchange on January 10, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzen
VP, Associate General Counsel
913.815.7154

SEC	Securities Exchange Act of 1934
19b-4	
19b-4(e)	
Public Availability:	JAN 16 2014