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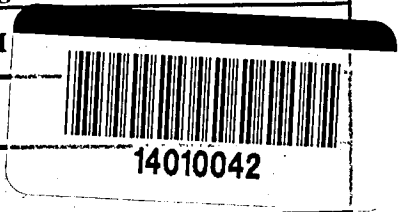
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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

FORM 19b-4(e)

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:**
NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):**
Open End Management Investment Company
- Class of New Derivative Securities Product:**
Exchange Traded Fund
- Name of Underlying Instrument:**
Actively managed portfolio of securities
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:**
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:**
SYV
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:**
Listed on: NASDAQ Stock Market LLC, NYSE, OTC
- Position Limits of New Derivative Securities Product (if applicable):**
Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):**
N/A

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Joan Conley

Title:
Secretary

Telephone Number:
301-978-8735

Manual Signature of Official Responsible for Form:

Securities Exchange Act of 1934

Date: January 13, 2014

Section **19b-4**
Date **JAN 23 2014**

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