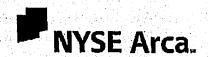
ECENEL		·	M-1M	015511 SEC
For Internat Use Only	1.8	Submit 1 Original	OME	Approval Noti Processing
Sec File No. 91 - 5 PM 3		and 9 Copies	Expi	res: Section
2014 JAN 13		· ·	Estimate	d average burden hours per response: 2.00
SEC / FIR UNITED STATES SECURITIES AND EXCHANGE COMMISSION Woohing to				
SLO	SECUR	ITIES AND EXCHANGE		Washington DC
		Washington, D.C. 205 FORM 19b-4(e)	49	404
Information Required of a Self-Regulatory Organization Listing and Trading a New				
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934				
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM				
Part I Initial Listing Report				
I. Name of Self-Regulatory Organization Listing New Derivative Securities Product:				
NYSE Arca, Inc.				14010029
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):				
Open-end Management Investment Company				
3. Class of New Derivative Secur	rities Product		- <u></u>	·
Investment Company Units	incs i roduct.			
investment company omes				
4. Name of Underlying Instrument				
Standard & Poor's 500 Inde	ex			
5. If Underlying Instrument is an	Index, State Whet	her it is Broad-Based or Narro	w-Based:	
Broad Based				
6. Ticker Symbol(s) of New Deri	vative Securities P	Product:		
FTHI	valive becarines i	Toduct.		
7. Market or Markets Upon Whic	h Securities Comp	orising Underlying Instrument	Trades:	
NYSE				
8. Settlement Methodology of Ne	w Derivative Secu	rities Product:		
Regular way trades settle on T+3/Book entry only held in DTC.				
9. Position Limits of New Deriva	tive Securities Pro	duct (if applicable):	· · · · · · · · · · · · · · · · · · ·	
Not applicable.				
Part II		Execution		
The undersigned represents that t	ersigned for, the lis	of the above-referenced Self-lating and trading of the above-r	Regulatory Organiz eferenced new deri	ration has duly approved, or has duly ivative securities product according to its
Name of Official Responsible for	Form:			
Janet L. McGinness				
Γitle:				
Corporate Secretary			-	
Felephone Number:			Acı	Securities Exchange Act of 1934
(212) 656-2039			Section	
Manual Signature of Official Res	ponsible for Form:		h Praise	SE STATE
Janei	mily	nnen	Public	and the second s
January 14, 201/4			Avancollity:	JAN 15 2011
EC 2449 (1/99)			ÿ	



NYSE Arca, Inc.
11 Wall Street
RECEIVED York, NY 10005

tel: 212.656.2039

2014 JAN 15 PM Sax47 212.656.8101 jmcginness@nyx.com

THE BROKE OF SILE PARKETER.

SEC / MR

SEC Mail Processing Section

Washington DC 404

Via Overnight Mail

January 14, 2014

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: First Trust NASDAQ Rising Dividend Achievers ETF

First Trust High Income ETF

First Trust Low Beta Income ETF

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

First Trust NASDAQ Rising Dividend Achievers ETF

First Trust High Income ETF

First Trust Low Beta Income ETF

FOR D

If you have any questions, please do not hesitate to call me at (212) 656-2039.

Sincerely, Sincerely,

**Enclosures** 

Act Securities Exchange Act of 1934

Section 195-4

Rule 195-4(e)

Public Availability: JAN 15 2311