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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM



14010021

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Stock Exchange
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- 3. Class of New Derivative Securities Product:
Ownership of the Trust
- 4. Name of Underlying Instrument:
First Trust NASDAQ Rising Dividend Achievers ETF
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based
- 6. Ticker Symbol(s) of New Derivative Securities Product:
RDVY
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Various
- 8. Settlement Methodology of New Derivative Securities Product:
See Prospectus
- 9. Position Limits of New Derivative Securities Product (if applicable):
See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Peter D. Santori

Title:
Executive Vice-President Chief Compliance Officer and Chief Regulatory Officer

Telephone Number:
(312) 663-2402

Manual Signature of Official Responsible for Form:

Date:
January 10, 2014

ACT
Securities Exchange Act of 1934

Section 19b-4
Rule 19b-4(c)

Public
Availability: JAN 15 2014



Chicago Stock Exchange
January 10, 2014

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By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

FTHI	First Trust High Income ETF
FTLB	First Trust Low Beta Income ETF
RDVY	First Trust NASDAQ Rising Dividend Achievers ETF

If you have any questions about this filing, please contact me at (312) 663-2261.

Sincerely,

Peter D. Santori
Executive Vice President Chief Compliance Officer and Chief Regulatory Officer

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JAN 15 2014