For Internal Use Only SECURITIES AND EXCHANGE COMMISSION Submit 1 Original OMB Approval No.: and 9 Copies Expires: Mail Processing Estimated average burden has continued average burden has continued average burden has been been burden by the continued average burden has been been burden by the continued average burden has been burden by the continued average burden by the continued UNITED STATES OCT 292013 DOT 120 2013 SECURITIES AND EXCHANGE COMMISSION Washington DC Washington, D.C. 20549 FORM 19b-4(e) differention Required of a Self-Regulatory Organization Listing and Trading a New Desirative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company 3. Class of New Derivative Securities Product: Investment Company Units 4. Name of Underlying Instrument: MSCI USA IMI Industrials Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Varrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: FIDU 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE; NASDAQ; NYSE MKT 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its elevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Janet L. McGinness Title: Corporate Secretary Telephone Number: (212) 656-2039 Manual Signature of Official Responsible for Form Hmnes Securities Eychange Act of 1934 October 25, 2013 SEC 2449 (1/99) Section Rule Public **OCT 29 2013** Availability: