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SECURITIES AND EXCHANGE COMMISSION
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 DIVISION OF TRADING & MARKETS

**UNITED STATES
 SECURITIES AND EXCHANGE COMMISSION**
 Washington, D.C. 20549
FORM 19b-4(e)

OCT 29 2013
 Washington DC
 404

**Information Required of a Self-Regulatory Organization Listing and Trading a New
 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
 NYSE Arca, Inc.

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
 Open-end Management Investment Company

3. Class of New Derivative Securities Product:
 Investment Company Units

4. Name of Underlying Instrument:
 MSCI USA IMI Financials Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
 Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
 FNCL

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
 NYSE; NASDAQ; NYSE MKT

8. Settlement Methodology of New Derivative Securities Product:
 Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):
 Not applicable.

Part II Execution
 The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
 Janet L. McGinness

Title:
 Corporate Secretary

Telephone Number:
 (212) 656-2039

Manual Signature of Official Responsible for Form:

Janet McGinness

October 25, 2013
 SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 29 2013

