1-1604 For Internal Use Only Submit 1 Original OMB Approval No.: Sec File No. 91 -SECURITIES AND EXCHANGE COMMISSION and 9 Copies Mail Processing Expires: Estimated average burden hose of Osponse: 2,00 UNITED STATES OCT 29 2013 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Washington DC FORM 19b-4(e) 404 Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.) Open-end Management Investment Company 3. Class of New Derivative Securities Product: Investment Company Units 4. Name of Underlying Instrument: MSCI USA IMI Energy Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: FENY 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE; NASDAQ; NYSE MKT 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Janet L. McGinness Title: Corporate Secretary Telephone Number: (212) 656-2039 Manual Signature of Official Responsible for Form: Socurities Exchange Act of 1934 nues October 25, 2013 SEC 2449 (1/99)

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Availability:

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