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SECURITIES AND EXCHANGE COMMISSION

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

AMENDED FORM 19b-4(e)

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**DIVISION OF TRADING & MARKETS** 

Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, co BlackRock Fund Advisors 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: QUAL: MSCI USA Quality Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 1 index referred to in item 4 above is Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: QUAL 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: QUAL: AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3, Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Jeffrey S. Rosenstrock Title: General Counsel Securities Exchange Act of 1934

201-942-8295

July 14/2013

Public

Availability:

19b-4

19b-4(e)

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