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# **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

100 -7 2013 FORM 19b-4(e)

ONISON OF TRADING E-MARKETS

FORM 19b-4(e)

ONISON OF TRADING E-MARKETS

ONISON Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Product Pursuant to Rule 19b-4(e) Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FQ Initial Listing Report Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. The NASDAQ Stock Market LLC Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2.. **Open End Management Investment Company** Class of New Derivative Securities Product: 3. **Exchange Traded Fund** Name of Underlying Instrument: 4. **CSI Overseas China Internet Index** If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. **KWEB** Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Hong Kong, NASDAQ & NYSE Settlement Methodology of New Derivative Securities Product: 8. Regular way trades settle T+3 (cash settled) Position Limits of New Derivative Securities Product (if applicable): 9 N/A **Execution** Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: William Slattery Vice President, Listing Qualifications Telephone Number: (301) 978-8088 Securities Exchange Act of 1934 Act Manual Signature of Official Responsible for Form: 195.4 Section Rule 195-4(c) Date: August 5, 2013 Public AUG 0 7 2013 Availability:

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AUG - 1 LU10 STATES
SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549
ONUSION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

#### **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open End Management Investment Company** 

- 3. Class of New Derivative Securities Product:
  - **Exchange Traded Fund**
- 4. Name of Underlying Instrument:
- **MSCI USA Quality Index**
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**Broad-based** 

6. Ticker Symbol(s) of New Derivative Securities Product:

QUAL

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: NASDAQ Stock Market LLC, NYSE

8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

### Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: July 18, 2013

SEC 2449 (6-01)

Act

Securities Exchange Act of 1934

Section

195-4

Rule

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Public

Availability:

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