For Internal Use

Only

Part II

Title: Secretary

Joan Conley

Telephone Number:

Date: July 02, 2013

SEC 2449 (6-01)

301-978-8735

standards.

Name of Official Responsible for Form:

Manual Signature of Official Responsible for Form:

Sec File No. 9-

SECURITIES AND EXCHANGE COMMISSION

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DIVISION OF TRADING & MARKETS

FORM 19b-4(e)

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

Securities Exchange Act of 1934

195-4

155-4(e)

JUL 1 7 2013

OMB APPROVAL

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: S-Network International Sector Dividend Dogs Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: **IDOG** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Australian Stock Exchange (ASX), Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Hong Kong, La Borsa Valoria Italiana, London Stock Exchange, OMX Nordic Exchange, Stockholm, Russian Trading System, Singapore Stock Exchange, Tel Aviv Stock Exchange, Thailand, Tokyo Stock Exchange 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Execution

Act

Section

Public

Availability:

Cinc

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing