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OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

SECURITIES AND EXCHANGE COMMISSION

SECURITIES AND EXCHANGE COMMISSION RECEIVED Washington, D.C. 20549

JUL 17 2013

FORM 19b-4(e)

DNISION OF TRADING & MARKETS

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

## **Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

FTSE Emerging All Cap ex-Taiwan Diversified Capped Dividend Growth 50 Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**Broad-based** 

6. Ticker Symbol(s) of New Derivative Securities Product:

**EMDG** 

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Bovespa, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Hong Kong, Indonesia, Istanbul Stock Exchange, Johannesburg Stock Exchange, La Borsa Valoria Italiana, Mexican Stock Exchange, NASDAQ Stock Market LLC, NYSE, National Stock Exchange Of India, Singapore Stock Exchange, Taiwan Stock Exchange, Tel Aviv Stock Exchange, Thailand

- 8. Position Limits of New Derivative Securities Product (if applicable):
  - Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Joan Conley** 

Title: Secretary Telephone Number: 301-978-8735 Manual Signature of Official Responsible for Form: Date: July 02, 2013

Securities Exchange Act of 1934 Act

Section 195-4(e) Lanc

Public Avaitability:

JUL 17 2013

SEC 2449 (6-01)