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JUN 05 2013  
DIVISION OF TRADING & MARKETS

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

**FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**



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**Part I**

**Initial Listing Report**

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
National Stock Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
Trust
- Class of New Derivative Securities Product:  
Equity
- Name of Underlying Instrument:  
First Trust Senior Loan Fund
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:  
FTSL
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
Domestic Stock Exchange
- Settlement Methodology of New Derivative Securities Product:  
Cash
- Position Limits of New Derivative Securities Product (if applicable):

**Part II**

**Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
James Buckley

Title:  
Senior Regulatory Counsel

Telephone Number:  
201-499-3698

Manual Signature of Official Responsible for Form:

Date:  
June 4, 2013

<b>Act</b>	Securities Exchange Act of 1934
<b>Section</b>	19b-4
<b>Rule</b>	19b-4(e)
<b>Public Availability:</b>	JUN 05 2013



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June 4, 2013

Ms. Gail Jackson  
Division of Trading and Markets  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Securities Exchange Act Forms 19b-4(e)

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange act of 1934, enclosed please find one original and nine copies of a completed Form 19b-4(e), executed on behalf of the National Stock Exchange, Inc. ("Exchange") for each of the following symbols which were recently approved for trading on the Exchange:

- 1) BRZS
- 2) KORZ
- 3) FTSL
- 4) PFEM
- 5) SYLD
- 6) DGRW
- 7) FIGY
- 8) HYHG
- 9) FEEU
- 10) TIPX
- 11) WDIV
- 12) FTCS
- 13) BFOR
- 14) UTLT
- 15) RVNU

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 05 2013

Please contact me at (201) 499-3698 if you have any questions on the enclosed materials.

Sincerely,

  
James Buckley

Encls.