SECURITIES ANNI EXCHANGE COMMISSION Submit 1 Original OMB APPROVAL For Internal Use Only OMB Number: 3235-0504 Sec File No. 9and 4 copies Expires July 31, 2004 RECEIVED Estimated average burden JUN 88 5013 hours per response. . 2.00 DIVISION OF TRADING & MARKETS **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION **WASHINGTON, D.C. 20549** AMENDED FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGA Exchange, Inc. 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): ALPS Advisors, Inc. 3. Class of New Derivative Securities Product: Investment Company Unit 4. Name of Underlying Instrument: SPXH: VelocityShares Volatility Hedged Large Cap Index TRSK: VelocityShares Tail Risk Hedged Large Cap Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-based: The 2 indexes referred to in item 4 above are Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: SPXH TRSK 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: SPXH: AMEX, ARCA, NASDAO, NYSE TRSK; AMEX, ARCA, NASDAQ, NYSE (Please note, underlying components may trade on additional exchanges in countries / regions not mentioned here.) 8. Settlement Methodology of New Derivative Securities Product: T+3. Physical Settlement 9. Position Limits of New Derivative Securities Product (if applicable): Not Applicable Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Jeffrey S, Rovenstrock Securities Exchange Act of 1934 General Counsel Title: 201-942-8295 Telephone Number: X Ja Manual Signature of Official Responsible for Form: June 26, 2013 Date: Public

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Availability: