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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

OMB APPROVAL

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. BATS Y-Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See attached. Class of New Derivative Securities Product: 3. See attached. Name of Underlying Instrument: 4. See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See attached. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Greg Steinberg Title: VP, Associate General Counsel Securities Exchange Act of 1934 Act Telephone Number: Section (913) 815-7215 Rule 9b-4(e) Manual Signature of Official Responsible for Form: Public MAY 3 0 2013 Availability: Date: May 24, 2013



May 24, 2013

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - BATS Y-Exchange, Inc.

Ms. Jackson,

On behalf of BATS Y-Exchange, Inc., enclosed please find an executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Y-Exchange on May 23, 2013. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Greg Steinberg

VP. Associate General Counsel

913.815.7215

Act Securities Exchange Act of 1934

Section 195-4
Rule 195-4(e)

Public Availability: MAY 3 0 2013

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS Y-EXCHANGE, INC.
COMMENCING ON MAY 23, 2013

| (6)<br>Ticker<br>Symbol | (2) Type of Issuer of NDSP | (3) Class of NDSP           | (4) Name of Underlying<br>Instrument             | (7) Market(s) upon Which Securities Comprising Underlying Instrument Trades | (5) Broad<br>or<br>Narrow |
|-------------------------|----------------------------|-----------------------------|--|---|---------------------------|
|                         |                            |                             |  |   |                           |
| HYHG                    | Trust                      | Investment Company<br>Units | Citi High Yield (Treasury Rate-<br>Hedged) Index | Various U.S. Markets  | Broad                     |