、	091-15555-he
For Internal Use Only Sec File No. 9- SECURITIES AND EXCHANGE COMMISSION SECURITIES AND EXCHANGE COMMISSION MAR 27 2013 MAR 27 2013 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing	OMB APPROVAL OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response3.60
DWSONOF THE Information Required of a Self-Regulatory Organization Listing Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities	
READ ALL INSTRUCTIONS PRIOR TO COMPLE	TING FOR
Part I Initial Listing Report	
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)	13005414
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, co <b>Corporation</b>	orporation, etc.):
3. Class of New Derivative Securities Product: Index Linked Note	
4. Name of Underlying Instrument: Atlantic Trust Select MLP Index	
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based	
<ol> <li>Ticker Symbol(s) of New Derivative Securities Product: ATMP</li> </ol>	
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE	
<ol> <li>Position Limits of New Derivative Securities Product (if applicable):</li> <li>Regular way trades settle on T + 3 (cash settled)</li> </ol>	
<ul> <li>9. Position Limits of New Derivative Securities Product (if applicable):</li> <li>N/A</li> </ul>	
Part II Execution	
The undersigned represents that the governing body of the above-referenced Self-Re approved, or has duly delegated its approval to the undersigned for, the listing and tr new derivative securities product according to its relevant trading rules, procedures, standards.	ading of the above-referenced
Name of Official Responsible for Form: Joan Conley	
Title: Secretary	
Telephone Number: 301-978-8735	
Manual Signature of Official Responsible for Form:	Securities Exchange Act of 1934
Date: March 14, 2013	196-4 196-4(c)
SEC 2449 (6-01) Public Availabilit	y: MAR 2 7 2013

. . . . .

-

and the second second